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PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

4

Application Number

10/646,458

Filing Date

August 22, 2003

First Named Inventor

Kenneth COLLINS, et al.

Art Unit

1763

Examiner Name

Unknown

Attorney Docket Number

006915 P01

## ENCLOSURES

(Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Law Office of Robert M. Wallace

Signature

*Robert M. Wallace*

Printed name

Robert M. Wallace

Date

05/24/2005

Reg. No.

29,119

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

*Shelly Hart*

Typed or printed name

Shelly Hart

Date

MAY 24, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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on MAY 24, 2005 (Date of Deposit)

Date Name

MAY 26 2005

PATENT & TRADEMARK OFFICE

PATENT

Attorney Docket No. 6915 P01

RW Ref. No. APM/001-02-CP1-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kenneth COLLINS, et al. ) Group Art Unit: 1763  
)  
Entitled: PLASMA IMMERSION ION ) Examiner: Unknown  
IMPLANTATION APPARATUS INCLUDING PLASMA )  
SOURCE HAVING LOW DISSOCIATION AND LOW )  
MINIMUM PLASMA VOLTAGE )  
)  
Serial No.: 10/646,458 )  
)  
Filing Date: August 22, 2003 )

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.



A copy of each foreign patent document and/or non-patent literature is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,



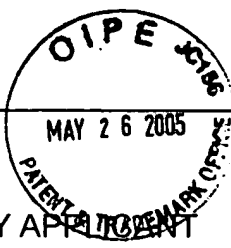
Dated:

05/24/2005

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INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number: 10/646,458  
Filing Date: August 22, 2003  
First Named Inventor: Kenneth Collins, et al.  
Group Art Unit: 1763  
Examiner Name: Unknown  
Attorney Docket Number: 006915 P01

**U. S. PATENT DOCUMENTS**

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-4,584,026	04-22-1986	WU ET AL.	148	1.5	07-25-1984
	US-5,510,011	04-23-1996	OKAMURA ET AL.	204	192.3	12-22-1994
	US-6,020,592	02-01-2000	LIEBERT ET AL.	250	492.21	08-03-1998
	US-6,101,971	08-15-2000	DENHOLM ET AL.	118	723E	08-15-2000
	US-6,139,697	10-31-2000	CHEN ET AL.	204	192.15	01-31-1997
	US-6,513,538	02-04-2003	CHUNG ET AL.	134	1.2	05-22-2001
	US-6,811,448	11-02-2004	PATON ET AL.	439	706	07-15-2003
	US-6,838,695	01-04-2005	DORIS ET AL.	257	55	11-25-2002

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	
	<b>Wolf, Stanley and Taubner, Richard, "Silicon Processing for the VLSI Era", 2000, Lattice Press, Vol. 1, pages 303-308.</b>

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.